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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jerry R. Smith  
Appl. No.: 10/099,921  
Filed: March 14, 2002  
Docket No.: 1806  
Title: **HITCH BALL ENGAGING ASSEMBLY AND  
APPARATUS USABLE TO INTERCONNECT FIFTH  
WHEEL TRAILER COUPLING TO A GOOSENECK  
TRACTOR COUPLING**  
Art Unit: 3619  
Examiner:  
Action: **TRANSMITTAL OF SURCHARGE AND FILING FEE**  
Date: June 13, 2002

To: Attention: Box Missing Parts  
The Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Application dated April 14, 2002 enclosed is our check no. 17596 in the amount of \$795.00 which includes the surcharge fee of \$65.00, extra claim fee of \$360.00 and the filing fee \$370.00 for completion of this application and a copy of the Notice to File Missing Parts of Application as required by the Notice. Filed concurrently herewith is a Declaration, Power of Attorney and an Abstract.

If you have any questions concerning this matter, please do not hesitate to contact the undersigned attorney at the number listed below.

Respectfully submitted,

**TIMOTHY J. MARTIN, P.C.**

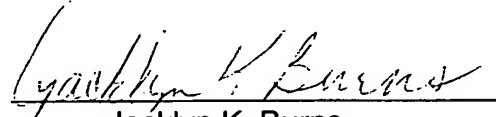
By: 

Timothy J. Martin, #28,640  
Michael R. Henson, #39,222  
Mark H. Weygandt, #43,260  
9250 W. 5th Avenue, Suite 200  
Lakewood, Colorado 80226  
(303) 232-3388



**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that the foregoing **TRANSMITTAL OF SURCHARGE AND FILING FEE (2 pages), CHECK NO. 17596 IN THE AMOUNT OF \$795.00, INCLUDING COPY OF NOTICE TO FILE MISSING PARTS OF APPLICATION (2 pages)** is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Attention: Box Missing Parts, The Assistant Commissioner for Patents, Washington, D.C. 20231, on this 14<sup>th</sup> day of June, 2002.

  
\_\_\_\_\_  
Jacklyn K. Burns



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APPARATUS USABLE TO INTERCONNECT FIFTH  
WHEEL TRAILER COUPLING TO A GOOSENECK  
TRACTOR COUPLING**  
Art Unit: 3619  
Examiner:

Action: **TRANSMITTAL OF MISSING PARTS – ABSTRACT**  
Date: June 13, 2002

To: Attention: Box Missing Parts  
The Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing is an Abstract as needed in reference to the above-identified patent application. This was requested in your Notice to File Missing Parts of Application dated April 17, 2002.

If you have any questions concerning this matter, please do not hesitate to contact the undersigned attorney.

Respectfully submitted,

**TIMOTHY J. MARTIN, P.C.**

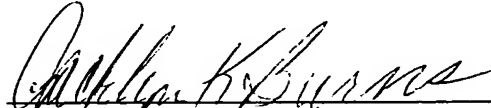
By: 

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Lakewood, Colorado 80226  
(303) 232-3388



**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that the foregoing **TRANSMITTAL OF MISSING PARTS (2 pages) – ABSTRACT (1 page)** is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Attention: Box Missing Parts, The Assistant Commissioner for Patents, Washington, D.C. 20231, on this 14<sup>th</sup> day of June, 2002.

  
\_\_\_\_\_  
Jacklyn K. Burns



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jerry R. Smith  
Appl. No.: 10/099,921  
Filed: March 14, 2002  
Docket No.: 1806  
Title: **HITCH BALL ENGAGING ASSEMBLY AND  
APPARATUS USABLE TO INTERCONNECT FIFTH  
WHEEL TRAILER COUPLING TO A GOOSENECK  
TRACTOR COUPLING**  
  
Art Unit: 3619  
Examiner:  
  
Action: **TRANSMITTAL OF MISSING PARTS – DECLARATION  
AND POWER OF ATTORNEY**  
Date: June 13, 2002

To: Attention: Box Missing Parts  
The Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing is the Declaration and Power of Attorney for Patent Application in reference to the above-identified patent application. This was requested in your Notice to File Missing Parts of Application dated April 17, 2002.

If you have any questions concerning this matter, please do not hesitate to contact the undersigned attorney at the number listed below.

Respectfully submitted,

**TIMOTHY J. MARTIN, P.C.**

By: 

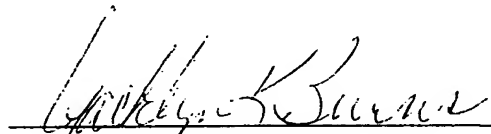
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**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that the foregoing **TRANSMITTAL OF MISSING PARTS (2 pages) – DECLARATION (2 pages) AND POWER OF ATTORNEY (1 page)** is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Attention: Box Missing Parts, The Assistant Commissioner for Patents, Washington, D.C. 20231, on this 14<sup>th</sup> day of June, 2002.

  
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Jacklyn K. Burns



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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/099,921	03/14/2002	Robert D. Van Vleet	1806

24264  
TIMOTHY J MARTIN, PC  
9250 W 5TH AVENUE  
SUITE 200  
LAKEWOOD, CO 80226

CONFIRMATION NO. 1639

FORMALITIES LETTER



\*OC000000007888811\*

Date Mailed: 04/17/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$360.
  - \$234 for 26 total claims over 20.
  - \$126 for 3 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 795.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

06/26/2002 MBIZUNES 00000088 10099921

01 FC:201	370.00 DP
02 FC:205	65.00 DP
03 FC:203	234.00 DP
04 FC:202	126.00 DP

*A copy of this notice **MUST** be returned with the reply.*

10099921-062002

W Korone

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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